

# ISHAN DYES AND CHEMICALS LIMITED



**June 03, 2020**

**To,  
The Department of Corporate Services (DCS-CRD)  
BSE Limited  
Phiroze Jeejeebhoy Towers, Dalal Street, Fort,  
Mumbai – 400001**

**Ref: ISHAN DYES & CHEMICALS LIMITED**

**BSE SCRIP CODE: 531109**

**Sub: ANNUAL SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED ON 31<sup>ST</sup> MARCH, 2020**

Dear Sir,

With reference to the SEBI Circular No: CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed herewith Annual Secretarial Compliance Report for the financial year ended on 31<sup>st</sup> March, 2020 issued by a Practicing Company Secretary.

Kindly take the same on your records and acknowledges receipt of the same.

Thanking You,

Yours truly,

**For Ishan Dyes & Chemicals Limited**

**Piyushbhai Natvarlal Patel  
Managing Director  
(DIN: 00450807)**

**Encl.: As above**



**ANNUAL SECRETARIAL COMPLIANCE REPORT OF ISHAN DYES AND CHEMICALS LIMITED,  
AHMEDABAD (CIN - L24110G)1993PLC020737) FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup>  
MARCH, 2020**

To  
**Ishan Dyes and Chemicals Limited**  
**Ahmedabad**

**We, Kunal Sharma & Associates, Company Secretaries have examined:**

- All the documents and records made available to us and explanation provided by Ishan Dyes and Chemicals Limited, Ahmedabad ("the Listed Entity"),
- The filings/ submissions made by the Listed Entity to the Stock exchange,
- Website of the Listed entity,
- Any other document/ filing, as may be relevant, which has been relied upon to make this certification,

**for the financial year ended on 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of :**

- The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

**The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-**

- The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 *upto the extent applicable*;
- The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009/2018 *upto the extent applicable* ;
- The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 *upto the extent applicable*;
- The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; *(not applicable during the Review period)*
- The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; *(not applicable during the Review period)*
- The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; *(not applicable during the Review period)*
- The Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; *(not applicable during the Review period)*
- The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 *upto the extent applicable*;
- The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 *upto the extent applicable*;
- The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009 *(not applicable during the Review period)*
- The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 *upto the extent applicable*.





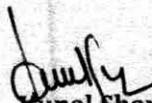
- l) and circulars/ guidelines issued thereunder and as applicable to the Company from time to time;

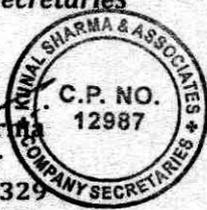
**And based on the above examination, We hereby report that, during the Review Period:**

- a) The Listed Entity has in general complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder,  
b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records.  
c) There are no actions taken against the Listed Entity/ its Promoters/ Directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:  
d) The listed entity has taken the following actions to comply with the observations made in previous reports: *Not Applicable*

***It is to be noted that due to nationwide lockdown arising out of COVID-19 pandemic, report for the review period is issued by examining documents and records provide to us through electronic mode only since physical verification was not possible.***

**For Kunal Sharma & Associates**  
**Company Secretaries**

  
Kunal Sharma  
Proprietor  
M. No: F10329  
CP No: 12987



**Place: Ahmedabad**  
**Date: June 03, 2020**  
**UDIN: F010329B000313027**